

2131  
K

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	09/745,505	
	Filing Date	12/26/00	
	First Named Inventor	GORD LAROSE	
	Art Unit	2131	
	Examiner Name	KAVEH ABRISHAMKAR	
Total Number of Pages in This Submission	28	Attorney Docket Number	77805-29 /slb

RECEIVED

JUL 20 2004

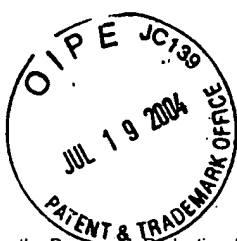
Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         1) New Request for Withdrawal as Attorney Form PTO/SB/83 (1pg)          2) Copy of facsimile submission dated January 15, 2003 (5pgs)          3) Facsimile confirmation dated January 15, 2003 (1 pg)          SEE REMARKS       </div>
Remarks 4) Decision on Request for Withdrawal as Attorney dated December 24, 2002 (2pgs)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Christine N. Genge (Reg. No. 45,405) SMART & BIGGAR P.O. Box 2999, Station D, 900 - 55 Metcalfe Street, Ottawa, Ontario, K1P 5Y6, Canada
Signature	
Date	July 16, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/83 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT**

Application Number	09/745,505
Filing Date	12/26/00
First Named Inventor	GORD LAROSE
Art Unit	2131
Examiner Name	KAVEH ABRISHAMKAR
Attorney Docket Number	77805-29 /slb

**RECEIVED**

JUL 20 2004

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby apply to withdraw as attorney or agent for the above identified patent application. Technology Center 2100

The reasons for this request are:

We filed a previous Request for Withdrawal on December 12, 2002. We received a Decision on Request for Withdrawal as Attorney dated December 24, 2002 which advised us that we were denied our Request. We filed a Response to Decision on Request for Withdrawal as Attorney by facsimile on January 15, 2003. No second Decision was ever issued. An Official Action dated June 4, 2004 was mailed to our firm. We respectfully request that a Decision be issued based on our submission of January 15, 2003 and that the Official Action dated June 4, 2004 be re-issued to the Applicant.

**CORRESPONDENCE ADDRESS**

1. ☐ The correspondence address is NOT affected by this withdrawal.  
2. ☒ Change the correspondence address and direct all future correspondence to:

☐ Customer Number

OR

☒ Firm or Individual Name  
NETACTIVE LLCAddress  
1333 Butterfield RoadAddress  
Suite 130City  
Downer's Grove State  
Illinois ZIP  
60515Country  
USA

Telephone

Fax

- ☒ This request is made on behalf of myself and  
☒ all the attorneys/agents of record,  
☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or  
☒ the attorneys/agents associated with Customer Number 07380

This request is enclosed in triplicate (including any attachments).

Name  
Christine N. Genge (on behalf of Smart & Biggar)

Signature

Registration No. 45,405

Date

July 16, 2004

**NOTE: Withdrawal is effective when approved rather than when received. Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.**

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## SMART & BIGGAR

*Intellectual Property & Technology Law*

To Fax no.: 1-703-305-8266

Page 1 of: 5

Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100

From: SMART & BIGGAR

Your file no.: 09/745,505

Reply to Ottawa file no.: 77805-29

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

Date: January 15, 2003

Time:

**RECEIVED**

JUL 20 2004

Technology Center 2100

### Certificate of Transmission

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office Fax. No.  
(703) 305-8266 on January 15, 2003.

type or printed name of the person signing this certificate

James McGraw

Signature

If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will

☒ will not

be sent by

☐ mail

☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
77805-29

In Re Application Of: Gordon Larose

Serial No.  
09/745,505

Filing Date  
December 26, 2000

Examiner  
Vincent N. Trans

Group Art Unit  
2100

Title: **METHOD AND SYSTEM FOR SOFTWARE INTEGRITY CONTROL USING SECURE HARDWARE ASSIST**

**RECEIVED**

JUL 20 2004

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Technology Center 2100

Transmitted herewith is: **RESPONSE TO DECISION ON REQUEST FOR WITHDRAWAL AS ATTORNEY**

Further to the Decision On Request For Withdrawal As Attorney and your telephone conversation with Ms. Christine Genge of our office, please find enclosed the following documents:

- 1) newly executed Request For Withdrawal As Attorney Or Agent; and
- 2) a copy of our letter dated December 17, 2002 notifying the Assignee of our Request For Withdrawal.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-2550** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: **January 15, 2003**

*Signature*

**James McGraw (Reg. No. 28,168)**  
**SMART & BIGGAR**  
**P.O. Box 2999, Station D**  
**900-55 Metcalfe Street**  
**Ottawa, Ontario**  
**K1P 5Y6 Canada**

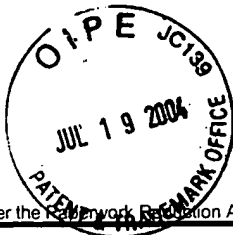
613-232-2486

CC:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*



PTO/SB/83 (03-02)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT**

Application Number	09/745,505
Filing Date	December 26, 2000
First Named Inventor	Gordon Larose
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	77805-29 /ala

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

There has been a breakdown in the relationship between the assignee and the agents of record.

**RECEIVED**

JUL 20 2004

Technology Center 2100

- ☐ The correspondence address is NOT affected by this withdrawal.
- ☒ Change the correspondence address and direct all future correspondence to:

**CORRESPONDENCE ADDRESS**☐ Customer Number

Place Customer Number  
Bar Code Label here

OR

☒ Firm or  
Individual Name

NETACTIVE LLC

Address

1333 Butterfield Road

Address

Suite 130

City

Downer's Grove

State

Illinois

ZIP

60515

Country

Telephone

Fax

☒ This request is made on behalf of myself and☒ all the attorneys/agents of record,☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or☒ the attorneys/agents associated with Customer Number 07380

This request is enclosed in triplicate (including any attachments).

Name

James McGraw (Reg. No. 28,168) is signing on behalf of  
~~all the agents associates with Customer No. 07380~~

Signature

Date

January 15, 2003

NOTE: Withdrawal is effective when approved rather than when received.

Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.



**COPY**

December 17, 2002

Eric Lundstrom  
CEO NetActive LLC  
1333 Butterfield Road  
Suite 130  
Downers Grove, IL 60515  
U.S.A.

Dear Mr. Lundstrom:

Re: United States Patent Application  
Serial No. 08/674037  
Applicant: David I Allan, et al

United States Patent  
Reg No. 6,108,420  
Registrant: NetActive LLC

United States Patent Application  
Serial No. 09/745505  
Applicant: Gord Larose, et al

United States Patent Application  
Serial No. 09/749421  
Applicant: Scott A. Thomson, et al

United States Patent Application  
Serial No. 09/696295  
Applicant: NetActive LLC

## SMART & BIGGAR

*Intellectual Property & Technology Law*

P.O. Box 2999, Station D  
900 - 55 Metcalfe Street  
Ottawa, Ontario Canada K1P 5Y6  
Tel. (613) 232-2486 Fax (613) 232-8440  
[www.smart-biggar.ca](http://www.smart-biggar.ca)

Christine N. Genge  
[cngenge@smart-biggar.ca](mailto:cngenge@smart-biggar.ca)

**Our Refs: 77805-4; -11;  
-29; -30 & -31**

### BY FACSIMILE

Confirmation by Mail

**RECEIVED**

JUL 20 2004

Technology Center 2100

Our Refs: 77805-4; -11  
-29; -30 & -31

- 2 -

**SMART & BIGGAR**

Please see enclosed copies of "Request for Withdrawal as Attorney or Agent" in respect of the above-noted matters.

Yours very truly,  
SMART & BIGGAR

Christine N. Genge

CNG:sml  
encl.

cc: Mr. E.A. Clarke  
Net Active LLC  
84 Hines Road  
Kanata, ON K2K 3G3

# Confirmation Report - Memory Send

Time : Jan-15-03 13:52  
Tel line : +  
Name : S&B/F&Co,

Job number : 929  
Date : Jan-15 13:35  
To : 17033058266  
Document pages : 05  
Start time : Jan-15 13:49  
End time : Jan-15 13:51  
Pages sent : 05  
Status : OK

Job number : 929

\*\*\* SEND SUCCESSFUL \*\*\*

**SMART & BIGGAR**  
Intellectual Property & Technology Law

To Fax no.: 1-703-305-8266  
Page 1 of: 5  
Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100  
From: SMART & BIGGAR  
Your file no.: 09/745,505  
Reply to Ottawa file no.: 77805-29

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

Date: January 15, 2003  
Time:

## Certificate of Transmission

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office Fax. No.  
(703) 305-8266 on January 16, 2003.

Type or printed name of the person signing this certificate

James McGraw

Signature

If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will

☒ will not

be sent by

☐ mail

☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

ALA





MAILED

DEC 24 2002

Technology Center 2100

Paper No. 4

SMART & BIGGAR  
P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Ontario  
Canada K1P 5Y6

77805-29

In re Application of: )  
Gordon Larose )  
Application No. 09/745,505 )  
Filed: December 26, 2000 )  
For: METHOD AND SYSTEM FOR )  
SOFTWARE INTEGRITY CONTROL )  
USING SECURE HARDWARE )  
ASSIST )

**DECISION ON REQUEST FOR  
WITHDRAWAL AS ATTORNEY**

This is a decision on the Request To Withdraw from Representation filed December 13, 2002.

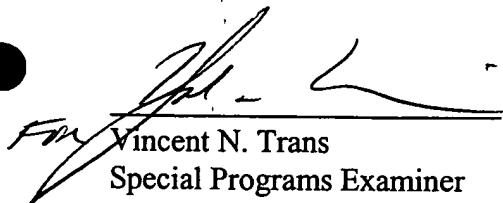
A grantable request to withdraw as attorney of record should indicate thereon the present mailing addresses of the attorney(s) who is/are withdrawing from the record and of the applicant. The request for withdrawal must be signed by every attorney seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a). The effective date of withdrawal being the date of decision and not the date of request. See M.P.E.P. § 402.06. 37 C.F.R. § 1.36 further requires that the applicant or patent owner be notified of the withdrawal of the attorney or agent.

There is no indication that Applicant has been notified of the request for withdrawal. Accordingly, the request is **DENIED**.

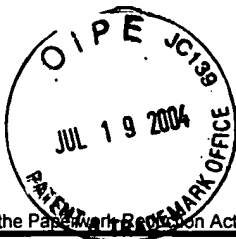
All future communications from the United States Patent and Trademark Office (Office) will continue to be directed to the above-listed address until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Office of any change in correspondence address to ensure receipt of all communications from the Office.

*Handwritten:* F. J. ...  
CNG

*Handwritten:* [Signature]

A handwritten signature in black ink, appearing to read "Vincent N. Trans", is written over a horizontal line. The signature is stylized with a large, sweeping initial "V".

Vincent N. Trans  
Special Programs Examiner  
Technology Center 2100  
Computer Architecture and Software  
(703) 305-9750



# COPY

PTO/SB/83 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT

Application Number	09/745,505
Filing Date	12/26/00
First Named Inventor	GORD LAROSE
Art Unit	2131
Examiner Name	KAVEH ABRISHAMKAR
Attorney Docket Number	77805-29 /slb

**RECEIVED**

JUL 20 2004

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby apply to withdraw as attorney or agent for the above identified patent application **Technology Center 2100**

The reasons for this request are:

We filed a previous Request for Withdrawal on December 12, 2002. We received a Decision on Request for Withdrawal as Attorney dated December 24, 2002 which advised us that we were denied our Request. We filed a Response to Decision on Request for Withdrawal as Attorney by facsimile on January 15, 2003. No second Decision was ever issued. An Official Action dated June 4, 2004 was mailed to our firm. We respectfully request that a Decision be issued based on our submission of January 15, 2003 and that the Official Action dated June 4, 2004 be re-issued to the Applicant.

### CORRESPONDENCE ADDRESS

1. ☐ The correspondence address is NOT affected by this withdrawal.  
2. ☒ Change the correspondence address and direct all future correspondence to:

☐ Customer Number

OR

☒ Firm or Individual Name **NETACTIVE LLC**Address **1333 Butterfield Road**Address **Suite 130**City **Downer's Grove** State **Illinois** ZIP **60515**Country **USA**

Telephone

Fax

- ☒ This request is made on behalf of myself and  
☒ all the attorneys/agents of record,  
☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or  
☒ the attorneys/agents associated with Customer Number **07380**

This request is enclosed in triplicate (including any attachments).

Name **Christine N. Genge (on behalf of Smart & Biggar)**

Signature

Registration No. **45,405**

Date

**July 16, 2004**

**NOTE: Withdrawal is effective when approved rather than when received. Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.**

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# SMART & BIGGAR

Intellectual Property & Technology Law

To Fax no.: 1-703-305-8266

Page 1 of: 5

Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

From: SMART & BIGGAR

Your file no.: 09/745,505

Date: January 15, 2003

Reply to Ottawa file no.: 77805-29

Time:

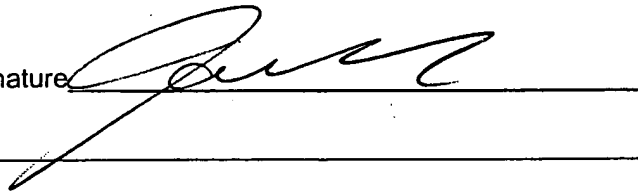
## Certificate of Transmission

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office Fax. No.  
(703) 305-8266 on January 15, 2003.

type or printed name of the person signing this certificate

James McGraw

Signature



If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will

☒ will not

be sent by

☐ mail

☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
77805-29

In Re Application Of: Gordon Larose

Serial No.  
09/745,505

Filing Date  
December 26, 2000

Examiner  
Vincent N. Trans

Group Art Unit  
2100

Title: **METHOD AND SYSTEM FOR SOFTWARE INTEGRITY CONTROL USING SECURE HARDWARE ASSIST**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: **RESPONSE TO DECISION ON REQUEST FOR WITHDRAWAL AS ATTORNEY**

Further to the Decision On Request For Withdrawal As Attorney and your telephone conversation with Ms. Christine Genge of our office, please find enclosed the following documents:

- 1) newly executed Request For Withdrawal As Attorney Or Agent; and
- 2) a copy of our letter dated December 17, 2002 notifying the Assignee of our Request For Withdrawal .

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-2550** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: **January 15, 2003**

*Signature*

**James McGraw (Reg. No. 28,168)**  
**SMART & BIGGAR**  
P.O. Box 2999, Station D  
900-55 Metcalfe Street  
Ottawa, Ontario  
K1P 5Y6 Canada

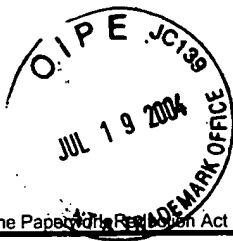
613-232-2486

CC:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*



PTO/SB/83 (03-02)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT**

Application Number	09/745,505
Filing Date	December 26, 2000
First Named Inventor	Gordon Larose
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	77805-29 /ala

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

There has been a breakdown in the relationship between the assignee and the agents of record.

1. ☐ The correspondence address is NOT affected by this withdrawal.

2. ☒ Change the correspondence address and direct all future correspondence to:

**CORRESPONDENCE ADDRESS**

☐ Customer Number

Place Customer Number  
Bar Code Label here

OR

☒ Firm or  
Individual Name

NETACTIVE LLC

Address 1333 Butterfield Road

Address Suite 130

City Downer's Grove State Illinois ZIP 60515

Country

Telephone

Fax

☒ This request is made on behalf of myself and

☒ all the attorneys/agents of record,

☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or

☒ the attorneys/agents associated with Customer Number 07380

This request is enclosed in triplicate (including any attachments).

Name James McGraw (Reg. No. 28,168) is signing on behalf of  
~~all the agents associates with Customer No. 07380~~

Signature

Date

January 15, 2003

NOTE: Withdrawal is effective when approved rather than when received.

Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.

## SMART & BIGGAR

*Intellectual Property & Technology Law*

P.O. Box 2999, Station D  
900 - 55 Metcalfe Street  
Ottawa, Ontario Canada K1P 5Y6  
Tel. (613) 232-2486 Fax (613) 232-8440  
[www.smart-biggar.ca](http://www.smart-biggar.ca)

Christine N. Genge  
[cngenge@smart-biggar.ca](mailto:cngenge@smart-biggar.ca)

Our Refs: 77805-4; -11;  
-29; -30 & -31

# COPY

December 17, 2002

Eric Lundstrom  
CEO NetActive LLC  
1333 Butterfield Road  
Suite 130  
Downers Grove, IL 60515  
U.S.A.

Dear Mr. Lundstrom:

Re: United States Patent Application  
Serial No. 08/674037  
Applicant: David I Allan, et al

United States Patent  
Reg No. 6,108,420  
Registrant: NetActive LLC

United States Patent Application  
Serial No. 09/745505  
Applicant: Gord Larose, et al

United States Patent Application  
Serial No. 09/749421  
Applicant: Scott A. Thomson, et al

United States Patent Application  
Serial No. 09/696295  
Applicant: NetActive LLC

### BY FACSIMILE

Confirmation by Mail

Please see enclosed copies of "Request for Withdrawal as Attorney or Agent" in respect of the above-noted matters.

Yours very truly,  
SMART & BIGGAR

Christine N. Genge

CNG:sm  
encl.

cc: Mr. E.A. Clarke  
Net Active LLC  
84 Hines Road  
Kanata, ON K2K 3G3



# Confirmation Report - Memory Send

Time : Jan-15-03 13:52  
Tel line : +  
Name : S&B/F&Co,

Job number : 929  
Date : Jan-15 13:35  
To : 17033058266  
Document pages : 05  
Start time : Jan-15 13:49  
End time : Jan-15 13:51  
Pages sent : 05  
Status : OK

Job number : 929

\*\*\* SEND SUCCESSFUL \*\*\*

**SMART & BIGGAR**  
Intellectual Property & Technology Law

To Fax no.: 1-703-305-8266  
Page 1 of: 5  
Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100  
From: SMART & BIGGAR  
Your file no.: 09/745,505  
Reply to Ottawa file no.: 77805-29

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

Date: January 15, 2003  
Time:

## Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office Fax. No. (703) 305-8266 on January 16, 2003. type or printed name of the person signing this certificate  
James McGraw

Signature 

If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will be sent by ☐ mail  
☒ will not be sent by ☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

ALA



MAILED

DEC 24 2002

Technology Center 2100

Paper No. 4

SMART & BIGGAR  
P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Ontario  
Canada K1P 5Y6

77805-29

In re Application of: )  
Gordon Larose )  
Application No. 09/745,505 )  
Filed: December 26, 2000 ) **DECISION ON REQUEST FOR**  
For: METHOD AND SYSTEM FOR ) **WITHDRAWAL AS ATTORNEY**  
SOFTWARE INTEGRITY CONTROL )  
USING SECURE HARDWARE )  
ASSIST )

This is a decision on the Request To Withdraw from Representation filed December 13, 2002.

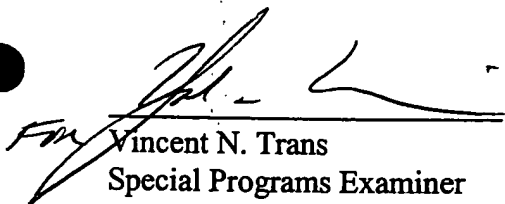
A grantable request to withdraw as attorney of record should indicate thereon the present mailing addresses of the attorney(s) who is/are withdrawing from the record and of the applicant. The request for withdrawal must be signed by every attorney seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a). The effective date of withdrawal being the date of decision and not the date of request. See M.P.E.P. § 402.06. 37 C.F.R. § 1.36 further requires that the applicant or patent owner be notified of the withdrawal of the attorney or agent.

There is no indication that Applicant has been notified of the request for withdrawal. Accordingly, the request is **DENIED**.

All future communications from the United States Patent and Trademark Office (Office) will continue to be directed to the above-listed address until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Office of any change in correspondence address to ensure receipt of all communications from the Office.

*Handwritten signatures and initials:*  
CNG  
P.A.L.A.

*Handwritten signature*

A handwritten signature in black ink, appearing to read "Vincent N. Trans", is written over a horizontal line. The signature is stylized and cursive.

Vincent N. Trans  
Special Programs Examiner  
Technology Center 2100  
Computer Architecture and Software  
(703) 305-9750



# COPY

PTO/SB/83 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT

Application Number	09/745,505
Filing Date	12/26/00
First Named Inventor	GORD LAROSE
Art Unit	2131
Examiner Name	KAVEH ABRISHAMKAR
Attorney Docket Number	77805-29 /slb

**RECEIVED**

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUL 20 2004

Technology Center 2100

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

We filed a previous Request for Withdrawal on December 12, 2002. We received a Decision on Request for Withdrawal as Attorney dated December 24, 2002 which advised us that we were denied our Request. We filed a Response to Decision on Request for Withdrawal as Attorney by facsimile on January 15, 2003. No second Decision was ever issued. An Official Action dated June 4, 2004 was mailed to our firm. We respectfully request that a Decision be issued based on our submission of January 15, 2003 and that the Official Action dated June 4, 2004 be re-issued to the Applicant.

### CORRESPONDENCE ADDRESS

1. ☐ The correspondence address is NOT affected by this withdrawal.  
2. ☒ Change the correspondence address and direct all future correspondence to:

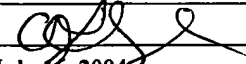
☐ Customer Number

OR

<input checked="" type="checkbox"/> Firm or Individual Name	NETACTIVE LLC				
Address	1333 Butterfield Road				
Address	Suite 130				
City	Downer's Grove	State	Illinois	ZIP	60515
Country	USA				
Telephone		Fax			

- ☒ This request is made on behalf of myself and  
☒ all the attorneys/agents of record,  
☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or  
☒ the attorneys/agents associated with Customer Number

This request is enclosed in triplicate (including any attachments).

Name	Christine N. Genge (on behalf of Smart & Biggar)		
Signature		Registration No.	45,405
Date	July 16, 2004		

**NOTE: Withdrawal is effective when approved rather than when received. Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.**

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# SMART & BIGGAR

*Intellectual Property & Technology Law*

To Fax no.: 1-703-305-8266

Page 1 of: 5

Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100

From: SMART & BIGGAR

Your file no.: 09/745,505

Reply to Ottawa file no.: 77805-29

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

Date: January 15, 2003

Time:

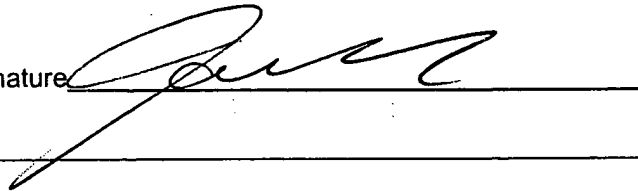
## Certificate of Transmission

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office Fax. No.  
(703) 305-8266 on January 15, 2003.

type or printed name of the person signing this certificate

James McGraw

Signature



If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will

☒ will not

be sent by

☐ mail

☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
77805-29

In Re Application Of: **Gordon Larose**

Serial No.  
09/745,505

Filing Date  
December 26, 2000

Examiner  
Vincent N. Trans

Group Art Unit  
2100

Title: **METHOD AND SYSTEM FOR SOFTWARE INTEGRITY CONTROL USING SECURE HARDWARE ASSIST**

**RECEIVED**

JUL 20 2004

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

**Technology Center 2100**

Transmitted herewith is: **RESPONSE TO DECISION ON REQUEST FOR WITHDRAWAL AS ATTORNEY**

Further to the Decision On Request For Withdrawal As Attorney and your telephone conversation with Ms. Christine Genge of our office, please find enclosed the following documents:

- 1) newly executed Request For Withdrawal As Attorney Or Agent; and
- 2) a copy of our letter dated December 17, 2002 notifying the Assignee of our Request For Withdrawal.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-2550** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: **January 15, 2003**

*Signature*

**James McGraw (Reg. No. 28,168)**  
**SMART & BIGGAR**  
**P.O. Box 2999, Station D**  
**900-55 Metcalfe Street**  
**Ottawa, Ontario**  
**K1P 5Y6 Canada**

613-232-2486

CC:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*



PTO/SB/83 (03-02)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT**

Application Number	09/745,505
Filing Date	December 26, 2000
First Named Inventor	Gordon Larose
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	77805-29 /ala

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

There has been a breakdown in the relationship between the assignee and the agents of record.

**RECEIVED**

JUL 20 2004

Technology Center 2100

- ☐ The correspondence address is NOT affected by this withdrawal.
- ☒ Change the correspondence address and direct all future correspondence to:

**CORRESPONDENCE ADDRESS**☐ Customer NumberPlace Customer Number  
Bar Code Label here

OR

☒ Firm or  
Individual Name

NETACTIVE LLC

Address

1333 Butterfield Road

Address

Suite 130

City

Downer's Grove

State

Illinois

ZIP

60515

Country

Telephone

Fax

- ☒ This request is made on behalf of myself and
- ☒ all the attorneys/agents of record,
  - ☐ the attorneys/agents (with registration numbers) listed on the attached paper(s), or
  - ☒ the attorneys/agents associated with Customer Number 07380

This request is enclosed in triplicate (including any attachments).

Name

James McGraw (Reg. No. 28,168) is signing on behalf of  
~~all the agents associates with Customer No. 07380~~

Signature

Date

January 15, 2003

**NOTE:** Withdrawal is effective when approved rather than when received.  
Unless there are at least 30 days between approval of withdrawal and the expiration date of a time  
period for response or possible extension period, the request to withdraw is normally disapproved.

## SMART & BIGGAR

*Intellectual Property & Technology Law*

P.O. Box 2999, Station D  
900 - 55 Metcalfe Street  
Ottawa, Ontario Canada K1P 5Y6  
Tel. (613) 232-2486 Fax (613) 232-8440

[www.smart-biggarr.ca](http://www.smart-biggarr.ca)

Christine N. Genge  
[cngenge@smart-biggarr.ca](mailto:cngenge@smart-biggarr.ca)

**Our Refs: 77805-4; -11;  
-29; -30 & -31**

# COPY

December 17, 2002

Eric Lundstrom  
CEO NetActive LLC  
1333 Butterfield Road  
Suite 130  
Downers Grove, IL 60515  
U.S.A.

Dear Mr. Lundstrom:

Re: United States Patent Application  
Serial No. 08/674037  
Applicant: David I Allan, et al

United States Patent  
Reg No. 6,108,420  
Registrant: NetActive LLC

United States Patent Application  
Serial No. 09/745505  
Applicant: Gord Larose, et al

United States Patent Application  
Serial No. 09/749421  
Applicant: Scott A. Thomson, et al

United States Patent Application  
Serial No. 09/696295  
Applicant: NetActive LLC

### BY FACSIMILE

Confirmation by Mail



Please see enclosed copies of "Request for Withdrawal as Attorney or Agent" in respect of the above-noted matters.

Yours very truly,  
SMART & BIGGAR

Christine N. Genge

CNG:sml  
encl.

cc: Mr. E.A. Clarke  
Net Active LLC  
84 Hines Road  
Kanata, ON K2K 3G3

# Confirmation Report - Memory Send

Time : Jan-15-03 13:52  
Tel line : +  
Name : S&B/F&Co.

Job number : 929  
Date : Jan-15 13:35  
To : 17033058266  
Document pages : 05  
Start time : Jan-15 13:49  
End time : Jan-15 13:51  
Pages sent : 05  
Status : OK

Job number : 929

\*\*\* SEND SUCCESSFUL \*\*\*

**SMART & BIGGAR**  
Intellectual Property & Technology Law

To Fax no.: 1-703-305-8266  
Page 1 of: 5  
Attention: EXAMINER VINCENT N. TRANS  
GROUP ART UNIT 2100  
From: SMART & BIGGAR  
Your file no.: 09/745,505  
Reply to Ottawa file no.: 77805-29

P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6

Tel.: (613) 232-2486  
Fax: (613) 232-8440

Date: January 15, 2003  
Time:

## Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office Fax. No. (703) 305-8266 on January 16, 2003, type or printed name of the person signing this certificate  
James McGraw

Signature 

If there are any transmission problems, please call (613) 232-2486.

Original copy and any enclosures

☐ will

☒ will not

be sent by

☐ mail

☐ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

ALA



MAILED

DEC 24 2002

Technology Center 2100

Paper No. 4

SMART & BIGGAR  
P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Ontario  
Canada K1P 5Y6

77805-29

In re Application of: )  
Gordon Larose )  
Application No. 09/745,505 )  
Filed: December 26, 2000 )  
For: METHOD AND SYSTEM FOR )  
SOFTWARE INTEGRITY CONTROL )  
USING SECURE HARDWARE )  
ASSIST )

**DECISION ON REQUEST FOR  
WITHDRAWAL AS ATTORNEY**

This is a decision on the Request To Withdraw from Representation filed December 13, 2002.

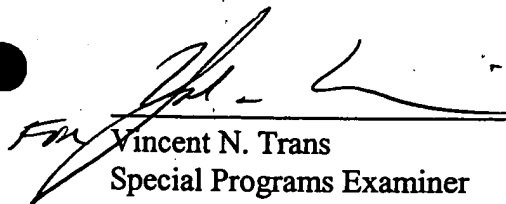
A grantable request to withdraw as attorney of record should indicate thereon the present mailing addresses of the attorney(s) who is/are withdrawing from the record and of the applicant. The request for withdrawal must be signed by every attorney seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a). The effective date of withdrawal being the date of decision and not the date of request. See M.P.E.P. § 402.06. 37 C.F.R. § 1.36 further requires that the applicant or patent owner be notified of the withdrawal of the attorney or agent.

There is no indication that Applicant has been notified of the request for withdrawal. Accordingly, the request is **DENIED**.

All future communications from the United States Patent and Trademark Office (Office) will continue to be directed to the above-listed address until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Office of any change in correspondence address to ensure receipt of all communications from the Office.

*Handwritten:* PAGA, CNG

*Handwritten signature*

A handwritten signature in black ink, appearing to read "Vincent N. Trans", is written over a horizontal line. The signature is stylized with a large initial "V" and a long horizontal stroke.

Vincent N. Trans  
Special Programs Examiner  
Technology Center 2100  
Computer Architecture and Software  
(703) 305-9750

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**